

**DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I declare that

My residence, post office address, and citizenship are as stated below next to my name. I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled

**A SYSTEM FOR ALLOCATING FUNDS IN A PLURALITY OF STOCK PORTFOLIOS**

☒ the specification of which is attached hereto.

☐ was filed on as patent application Serial No..

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information of which I am aware and which is material to the examination of the patent application in accordance with 37 CFR §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designates at least one country other than the United States, listed below and have also identified below, by checking the space, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is not claimed.

**Prior Foreign Application(s)**

Number	Country	Day/Month/Year Filed	Priority Not Claimed
_____	_____	_____	_____
_____	_____	_____	_____

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

**Application Serial Number****Filing Date**

60/203,931 May 12, 2000

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information known to me which is material to the patentability as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

**Application Serial Number****Filing Date****Status (patented, pending, abandoned)**

Each undersigned applicant hereby appoints J. JOHN SHIMAZAKI (Registration No. 37,236) and SONG ZHU (Registration No. 44,420) as his attorneys with full power of substitution to prosecute the subject application and to transact all business in the Patent and Trademark Office connected therewith.

Send Correspondence to: **DICKINSON WRIGHT PLLC, 1901 L Street, N.W., Suite 800, Washington, D.C. 20036.** Phone (202) 457-0160.

I hereby declare that all statements made herein of my own knowledge are true and that all statement made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Chadwick M. Meyer

Inventor's signature: *Chadwick M. Meyer*

Date: 12/5/00

Residence: Chicago, IL

Citizenship: USA

Post Office Address: 405 N. Wabash, #408, Chicago, IL 60611

Full name of second joint inventor, if any: Thury L. Foster

Inventor's signature: *Thury L. Foster*

Date: 12/5/00

Residence: Chicago, IL

Citizenship: USA

Post Office Address: 5050 S. Lake Shore Dr, #401, Chicago, IL 60615

Full name of third joint inventor, if any:

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

\_\_\_\_ Fourth and subsequent joint inventors are listed on second sheet

Applicant: Chadwick M. Meyer and Thury L. Foster

Docket No.: 71292-

0001

Serial No.:

Filed:

For: SYSTEM FOR ALLOCATING FUNDS IN A PLURALITY OF STOCK PORTFOLIOS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR §1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR**

As a below named joint inventor, I declare that I qualify as an independent inventor as defined in 37 CFR §1.9(c) for purposes of paying reduced fees under sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention described in

☒ the specification and drawings entitled SYSTEM FOR ALLOCATING FUNDS IN A PLURALITY OF STOCK PORTFOLIOS filed on the date noted above.

I have not assigned, granted, conveyed, or licensed and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR §1.9(c) if that person had made the invention, or to any concern that would not qualify as a small business concern under 37 CFR §1.9(d) or a nonprofit organization under 37 CFR §1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☒ no such person, concern, or organization  
persons, concerns, or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27)

NAME:

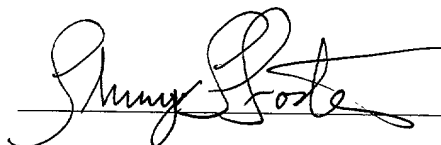
ADDRESS:

☐ Individual    ☐ Small Business Concern    ☐ Nonprofit Organization

I acknowledge the duty to file in this patent application or patent notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earlier of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §1.28(b))

I declare that all statements made here and based on my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the patent application, any patent issuing thereon, or any patent to which this verified statement is directed.

12-6-00



Name: Thury L. Foster

DC 71292-1 60286

[illegible]

Applicant: Chadwick M. Meyer and Thury L. Foster

Docket No.: 71292-

0001

Serial No.:

Filed:

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☒ the specification and drawings entitled SYSTEM FOR ALLOCATING FUNDS IN A PLURALITY OF STOCK PORTFOLIOS filed on the date noted above.

I have not assigned, granted, conveyed, or licensed and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR §1.9(c) if that person had made the invention, or to any concern that would not qualify as a small business concern under 37 CFR §1.9(d) or a nonprofit organization under 37 CFR §1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☒ no such person, concern, or organization  
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NAME:

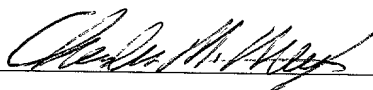
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12/6/00



Name: Chadwick M. Meyer

DC 71292-1 60284

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